

ESMP mandatorily annexed to the Financing Agreement (FA)

General considerations

1. **Plan International Ethiopia and South Sudan** is planning to implement the [CLIMATE PROOF WATER4FOOD PROJECT under the *Climate Action Window program*] (the **Project**). The Bank has agreed to provide financing, implementation support and monitoring for the Project.
2. [**Plan International Ethiopia and South Sudan**] will implement measures and actions of this Environmental and Social Management Plan¹ (**ESMP**) so that the Project meets all the requirements of the Bank Environmental and Social Operational Safeguards (**OS**) and the National policy and legal requirements.
3. Where the ESMP refers to specific plans, whether they have already been prepared or are to be developed, the ESMP requires compliance with all mandatory provisions of such plans.
4. The table below summarizes the material measures and actions that are required, the basis of the requirement, the timing of the measure or action, and the criteria to be used for determining whether the required measure or action has been successfully achieved. [**Plan International Ethiopia and South Sudan** CLIMATE PROOF WATER4FOOD PROJECT under the *Climate Action Window program*] is responsible for compliance with all requirements of the ESMP even when implementation of specific measures and actions is conducted by an entity different from the Project Implementation Units (PIU).
5. Implementation of the material measures and actions set out in this ESMP will be monitored and reported to the Bank by [*ESS Specialist at Plan International Ethiopia and South Sudan Offices*] as required by the ESMP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the measures and actions throughout implementation of the Project.
6. As agreed by the Bank and [*Plan International Ethiopia and South Sudan*], this ESMP may be revised from time to time during Project implementation, to reflect adaptive risk management of project changes and unforeseen circumstances or in response to assessment of project performance conducted under the ESMP itself. In such circumstances, [*Plan International Ethiopia and South Sudan*] will propose and agree changes with the Bank, and then update the ESMP to reflect such changes.

¹ The ESMP refers to all the E&S risks/impacts and measures, as approved in all the disclosed E&S documents and agreed between the Bank and Borrower. For projects involving multiple subprojects, that are identified, prepared and implemented during the course of the project, the Borrower will need to demonstrate to the Bank, before the project appraisal, through the preparation of E&S documentation of a sample of subprojects, that it has the capacity to carry out appropriate environmental and social assessment of subprojects, and prepare and implement such subprojects in accordance with the national laws and the OSs. (*Section III.2.3 of Bank's ESP and section D of OSI*)

Material Actions² to Manage the Project's E&S Risks and Impacts		Basis for Requirement	Key Performance Indicator	Indicative Timing/Deadline
Periodic E&S implementation report to the Bank		Bank's ESP and OS1	Reports submitted in time, in good standard	Two weeks after the due period
1	Recruitment of E and S specialists as part of the Project implementation unit	Disclosed ESIA, OS1	Seasoned E&S specialists in the PIU	By Project effectiveness date
2	Establishment of the Project Grievance Redress Mechanism (GRM) and disclosure to Public	OS1, OS10 and National requirements	A functional GRM that effectively meets the requirements of both the client and the Bank	By Project effectiveness date
3	Payment of compensation and reinstallation of affected people	SO10	N/A as the project will not result in involuntary resettlement.	NA No Resettlement Action Plan (RAP) is required.
4	Incorporation of site-specific E&S measures in the request for proposals	OS1 & national requirements	# activities demand E & S and site specific ESIA/Screening document prepared	Pre-construction of the specific activities
5	Submission of high-risk activity's Contractor ESMP (C-ESMP) to Bank clearance	Bank's ESP and OS1	NA	NA
6	Establishment of the Contractor's Grievance Mechanism (GM) and information of workers	OS1, OS2, SO10 and Bank's Disclosure and Access to Information Policy	Establish a grievance mechanism accessible to all project workers for raising workplace concerns as well as the public	Before the recruitment of project workers
7	Obtaining nationally required licenses prior commencement of subjected activities (excavations, tree-cutting, working at height, working in confined spaces, etc.)	OS1, OS2 and national labor laws	As applicable, nationally required relevant licenses obtained	Pre – construction period, As applicable, prior to commencement of the relevant activities.
8	Preparation, approval, and disclosure of specific E&S documents during Project implementation, including prior review of Category 1 terms of reference by the Bank	Bank's ESP, OS1 and national requirements	NA	NA
9	Engagement with concerned stakeholders of each relevant specific E&S activity	OS1, OS10, Bank's Disclosure and Access to Information Policy	Minutes and documentation of	As applicable, before the start of project activities

² Please add any relevant key actions and/or indicate "Not applicable" in the third column ("Basis for requirement") for actions that are not applicable to the project.

			stakeholder engagement events undertaken Workshop, consultation and training carried on	and continuously throughout project implementation
10	Establishment of Emergency Preparedness and Response mechanism	OS1 & OS4, national legislation on contingencies et	Provide Emergency Preparedness Plan	By Project effectiveness date
11	Appropriate and timely handling of complaints/grievances	Bank's ESP and OS1	Operational Project-Grievance Redress Mechanism (GRM) with grievance logs in place	Right after issues, complaints, or grievances are reported
12	Notification to riparian or alert to downstream exposed peoples	Bank's ESP and OS1, applicable International Treaty/Convention ratified	Not applicable	NA
13	Capacity building of key project implementers	OS1	Reports of the capacity building sessions conducted or undertaken	In accordance with the reporting periods outlined in the capacity building implementation plan
14	Implementation of ESMS/ESAP ³	OS1 and OS9, national requirements	Not applicable	NA
14.1	<i>Approval of any required E&S management procedure</i>	Ditto	NA	NA
14.2	<i>Establishment of the E&S unit</i>	Ditto	NA	NA
14.3	<i>Capacity Building of the E&S Unit</i>	Ditto	NA	NA
14.4	<i>Processing the Value Chain E&S due diligence</i>	Ditto	NA	NA
15	Suspending works in the event of EOHS risk or incident, immediately notify the Bank, and resume works only upon no-objection of the Bank.	Bank's ESP	- Frequency of work suspensions; Number of timely notifications received by the Bank - Incident register and incident notification procedure established. - Serious incidents reported.	Immediately and no later than 72 hours after the occurrence
16	Prepare the root-cause analysis (RCA) of any fatal EOHS incident and implement the Corrective Action Plan (CAP).	Bank's ESP and OS1	RCA and CAP provided for fatalities.	After approval or clearance of reports

³ Applies to non-sovereign operations and public sector projects implemented by permanent autonomous Agencies/Institutions.

17	Disclosure of Project's E&S reports to the public	OS1, OS10, Bank's Disclosure and Access to Information Policy	Regular disclosure documented.	After approval or clearance of reports by the designated National agencies
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