

Plan International Counter Fraud Report FY22 - Quarter 3

Date	Location	Allegation	Resolution	Initial Loss (EUR)	Funds Recovered (EUR)	Net Loss (EUR)	Lessons Learned and Actions
Apr-21	West and Central Africa	Part of an order for sports equipment was not delivered despite being paid for in full.	Excess payments had been taken by one former and one current Plan International staff member. The staff member was dismissed and the recovery of funds was deemed impractical.	6,238	0	6,238	No further action was taken.
Jun-21	West and Central Africa	A vehicle was repaired with substandard parts but Plan International was charged the full price of a quality repair.	Collusion with a Plan International staff member was identified. The staff member was dismissed and the supplier was blacklisted.	13,668	13,668	0	Remediation measures were made on identified procurement weaknesses.
Jun-21	West and Central Africa	A Plan International staff member submitted a suspected fraudulent expense claim.	The fraudulent expense claim was confirmed and the contract of the staff member was terminated. Recovery of lost funds was deemed impractical.	968	0	968	No further action was taken.
Jun-21	West and Central Africa	Beneficiaries had not received vouchers although this had been reported.	Vouchers were taken by a Plan International staff member who was dismissed.	3,704	0	3,704	Remediation measures were made to address the voucher diversion and improvements were made on distribution controls.

Aug-21	Asia Pacific	A lack of due process was identified in the procurement of a warehouse.	A conflict of interest, overcharging and non-compliance were confirmed. One Plan International staff member resigned prior to the investigation. Two further staff members were given warnings and recovery of the lost funds was undertaken.	60	0	60	Clarity was given and improvements were made on the procurement process.
Aug-21	Middle East, Eastern and Southern Africa	A whistleblower report alleged aid materials had been taken and kickback payments had been received.	Diversion and theft were confirmed. Two Plan International staff members received warnings for negligence and lack of policy compliance. Kickback payments were not confirmed.	1,344	0	1,344	Remediation measures were made to address the weaknesses identified in the process.
Aug-21	West and Central Africa	Community monitors complained of non-payment. An internal control investigation identified missing aid materials leading to a suspicion of fraud.	The allegations were substantiated and 3 Plan International staff members had their contracts terminated.	0	0	0	No further action was taken.
Sep-21	West and Central Africa	A Plan International office was thought to be paying excess facilitators for the delivery of a project and the collusion of staff members was suspected.	The allegations were substantiated and 3 staff members had their contracts terminated.	0	0	0	No further action was taken.

Sep-21	Americas	A consultant complained that a Plan International staff member was contributing to an association of which they were both members in return for the award of a consultancy.	The allegation was not substantiated.	0	0	0	Control weakness were noted and remedial recommendations were adopted.
Sep-21	Americas	A former staff member of a partner organisation embezzled funds meant for a tax payment.	Embezzlement was confirmed and the loss was repaid.	1,222	1,222	0	No further action was taken.
Oct-21	Americas	Letters between sponsored children and their sponsors were identified that were thought to be false.	The allegation was substantiated and the culpable Plan International staff member resigned.	0	0	0	Remediations were made on the sponsorship communication process.
Oct-21	Asia Pacific	An anonymous Facebook message alleged that a Plan International staff member was demanding bribes to appoint youth facilitators.	The allegation was not substantiated.	0	0	0	No further action was taken.
Oct-21	Americas	A Plan International staff member was alleged to be making unauthorised purchases from a Plan International account.	The allegation was not substantiated.	0	0	0	Local procedural remediations were made.

Nov-21	Americas	A Plan International staff member was suspected of forging communications from sponsored children.	The allegation was substantiated and the staff member was dismissed.	0	0	0	Remediations were made on the sponsorship communication process.
Nov-21	Americas	A whistleblower reported that a community member had their identity used in an application for a Plan International project.	The correct details were reinstated by donor.	0	0	0	No further action was taken.
Nov-21	West and Central Africa	A Plan International staff member offered to supply existing Plan International stock to a supplier for fraudulent resale to Plan International.	Fraud was prevented and the staff member resigned prior to an investigation.	0	0	0	No further action was taken.
Nov-21	Middle East, Eastern and Southern Africa	A whistleblower made multiple varied corruption allegations against various staff members.	The whistleblower failed to cooperate and the allegations were reviewed and discounted due to a lack of information.	0	0	0	No further action was taken.
Dec-21	Americas	An irregular procurement process was identified for IT equipment leading to a suspicion of fraud.	Fraud was not substantiated but issues of procedural non-compliance were identified.	0	0	0	Remediation measures were made on identified procurement weaknesses.
Dec-21	Americas	Community members complained that Plan International staff members deceived them about economic support they believed they were entitled to.	The allegation were not substantiated but some remediation actions were made.	0	0	0	No further action was taken.

Dec-21	Middle East, Eastern and Southern Africa	Bribes and kickback payments were solicited by a staff member from a partner organisation.	Inappropriate conduct and an abuse of power were substantiated. The staff member had their contract terminated.	0	0	0	No further action was taken.
Dec-21	West and Central Africa	A whistleblower report alleged unauthorised salary payments to an outgoing staff member.	Internal control issues for local remediation were made. No evidence of fraud was identified.	0	0	0	No further action was taken.
Jan-22	Americas	A lodging and food service provider was allegedly asked for kickback payments by a Plan International staff member.	The allegations were substantiated. The staff member was dismissed, the lost funds were recovered and remediations were made.	407	407	0	No further action was taken.
Jan-22	Middle East, Eastern and Southern Africa	An anonymous whistleblower alleged procurement fraud and that management were aware but took no action.	The whistleblower failed to cooperate and an internal review was commissioned.	0	0	0	No further action was taken.
Feb-22	Europe	A whistleblower alleged process irregularities in relief projects.	The allegation was not substantiated but non-compliance was identified.	0	0	0	No further action was taken.
Feb-22	Europe	A whistleblower raised a potential conflict of interest in the establishment of a programme.	No malpractice was identified.	0	0	0	No further action was taken.
Feb-22	Americas	A community member paid a third party impersonating Plan International for enrolment in a fake project.	The incident was referred to the police.	0	0	0	No further action was taken.

Mar-22	West and Central Africa	A Plan International staff member was alleged to be submitting false claims and using a vehicle for unauthorised jounies.	The allegations were substantiated. The claims were not paid and the staff member was dismissed.	0	0	0	Remdial measures were taken on identified weaknesses.
Mar-22	Americas	A lottery scam was attempted by a third party claiming to be a Plan International staff member.	A fraud alert was circulated by the local Plan International office.	0	0	0	No further action was taken.