

PIan International Counter Fraud Report FY19 - Quarter 4

Date	Location	Allegation	Resolution	Initial loss (EUR)	Funds recovered (EUR)	Net loss (EUR)	Lessons learned and actions
Oct-18	West and Central Africa	A staff member was issued an air ticket to accompany a nanny on a flight. They were suspected of selling the ticket and travelling without the nanny.	Fraud was substantiated. The staff member was dismissed and the lost funds were recovered.	294	294	0	All staff members with infants were reminded of travel rules.
Oct-18	East and Southern Africa	Allegations of fraud were made against a project's Chief of Party and management.	A conflict of interest and negligence were confirmed by an investigation. Disciplinary action was taken against 2 staff members. A panel cleared both parties.	0	0	0	No further action was taken.
Oct-18	Asia	A staff member was alleged to have been a paid government employee without due disclosure.	A conflict of interest was substantiated. The staff member had their contract terminated.	0	0	0	Management were issued a reminder on conflict of interest policy. Employees were required to disclose any conflicts of interest.
Nov-18	Asia	A former staff member of an implementing partner alleged fraud in relation to false invoices, vehicle procurement and misappropriation by the management of the partner organisation.	Fraud was not substantiated but compliance deficiencies were identified.	0	0	0	Remedial measures were established to enhance partner management.
Nov-18	East and Southern Africa	A staff member was suspected of forging receipts for taxi journeys in an expense claim.	Fraud was substantiated. The staff member resigned prior to a disciplinary process. The lost funds were recovered and it was noted in the personnel file.	181	181	0	No further action was taken.

Nov-18	Americas	Staff members were suspected of inflating hotel receipts for expense claims and misusing a company vehicle.	Misuse of the company vehicle was substantiated but expense fraud was not proven. Following a disciplinary process, one staff member was dismissed and 3 were given warnings.	0	0	0	No further action was taken.
Nov-18	West and Central Africa	It was alleged that an inflated invoice was submitted by a vehicle carriage vendor in collusion with a Plan International staff member.	The allegations were not substantiated.	0	0	0	No further action was taken.
Nov-18	Americas	A Plan International staff member was suspected of supporting advance liquidations with fraudulent documents.	Fraud was substantiated and the staff member was dismissed. The recovery of lost funds was not practical.	4,320	0	4,320	Controls remediation were introduced.
Nov-18	West and Central Africa	A Plan International staff member underpaid community suppliers and retained the difference.	The staff member was dismissed. Recovery of the lost funds was not possible.	235	0	235	Controls remediation were introduced.
Nov-18	West and Central Africa	A Plan International staff member solicited regular bribes from a partner organisation.	The staff member resigned during a disciplinary process. The recovery of lost funds was not possible.	2,953	0	2,953	No further action was taken.
Nov-18	Asia	A contractor was accused of using sub-standard materials for a water project.	Fraud was not substantiated but several non-compliance issues were identified.	0	0	0	Recommendations were provided on the compliance deficiencies identified.
Nov-18	West and Central Africa	A Plan International staff member failed to reimburse medical expenses and retained the funds.	The staff member was dismissed and the lost funds were recovered.	1,138	1,138	0	No further action was taken.
Dec-18	West and Central Africa	Food commodities were found to be missing from a storeroom after a stock count and there was no sign of break-in.	No suspects were found following an investigation.	2,386	0	2,386	Security improvements were made.

Dec-18	West and Central Africa	A staff member was alleged to have submitted a false liquidation report for community activities, retaining cash meant for beneficiaries.	The allegation was not confirmed.	0	0	0	No further action was taken.
Jan-19	East and Southern Africa	A local partner organisation was suspected of submitting falsified liquidation documents.	The allegations were substantiated and the partnership was terminated.	1,772	0	1,772	A legal process is underway to recover the lost funds.
Jan-19	East and Southern Africa	An anonymous email alleged corruption from Plan International staff members with the intention to start legal action.	A response from Plan International management was published.	0	0	0	No further action was taken.
Jan-19	East and Southern Africa	An implementing partner was suspected of submitting an inflated advance request for salaries covering some periods prior to the employment of the staff concerned.	No fraud was identified but advance requests were found to be disallowables. The lost funds were recovered.	4,992	4,992	0	Recovery was recommended and partner remediation measures were introduced.
Jan-19	Asia	A Plan International staff member was suspected of inflating the amount of a cheque in order to misappropriate funds.	The staff member was dismissed and the lost funds were recovered.	14,700	14,700	0	Financial controls were improved.
Jan-19	West and Central Africa	Teachers at a school were allegedly transferring the IDs of graduating children to new children to continue benefitting from a project.	The allegation was not substantiated.	0	0	0	No further action was taken.
Feb-19	East and Southern Africa	Partner organisations were suspected of failing to provide funded services to beneficiaries.	No fraud was identified.	0	0	0	No further action was taken.

Feb-19	West and Central Africa	A third party was impersonating Plan International staff and collecting cash payments from individuals in return for the promise of employment by Plan International.	The Plan International office communicated a warning on the risk of people pretending to be Plan International staff.	0	0	0	A police investigation is underway.
Feb-19	West and Central Africa	Plan International staff were suspected of failing to distribute monthly vouchers to beneficiaries.	The allegation was substantiated. One staff member was dismissed and 2 staff members did not have their contracts renewed.	7,781	0	7,781	A legal case to recover funds has been filed but in practice these funds are not recoverable.
Feb-19	West and Central Africa	A visit to a government partner revealed discrepancies on phone card allowances, indicating fraud.	No fraud was identified.	0	0	0	No further action was taken.
Feb-19	East and Southern Africa	A Plan International staff member working with a consortium lead was suspected of procurement fraud.	A Plan International staff member was given a warning for negligence.	0	0	0	No further action was taken.
Feb-19	East and Southern Africa	A Plan International staff member was suspected of a conflict of interest and integrity issues relating to other NGOs.	Fraud was not substantiated. The staff member's contract was not renewed based on an investigation into their previous employment.	0	0	0	No further action was taken.
Feb-19	Asia	It was suspected that overpayments were made in an emergency response.	Procurement collusion by staff members and a vendor leading to overpricing was identified. Three staff members were dismissed. Lost funds were not recoverable.	8,836	0	8,836	Procurement controls were reviewed.
Feb-19	East and Southern Africa	An anonymous report alleged irregularities in partner liquidations.	No fraud was identified.	0	0	0	No further action was taken.
Feb-19	Asia	Anomalies were found in partner salary payments.	No fraud was identified. However an error was identified followed by cash recovery.	1,200	1,200	0	No further action was taken.

Mar-19	Americas	A staff member who had their contract terminated was found to have falsified a bid for consultancy services prior to leaving.	The falsified consultancy bid was confirmed.	0	0	0	The recovery of the termination payment is being sought.
Mar-19	Asia	A new staff member was suspected of falsifying employment dates in an application to a bank for financial services.	Forgery was substantiated but the role of staff member was inconclusive. The staff member resigned prior to the issuing of the report.	0	0	0	No further action was taken.
Mar-19	East and Southern Africa	Construction procurement was conducted without authorisation and was suspected to be in favour of one vendor.	No fraud was substantiated. A warning was given for procedural non-compliance.	0	0	0	No further action was taken.
Mar-19	West and Central Africa	A Plan International staff member was alleged to be obtaining unauthorised cash advances.	The allegation was substantiated and the staff member was dismissed.	0	0	0	No further action was taken.
Apr-19	Americas	A partner organisation was suspected of inflating transport costs and misusing Plan International funds.	Fraud was not substantiated but issues on ineligible costs and poor financial management were identified. The partnership was closed.	2,900	2,900	0	Improved partner monitoring systems were introduced.
Apr-19	Asia	A liquidation report submitted by an implementing partner raised suspicion of fraud relating to certain transactions.	Management conducted a quality assurance review on partner transactions. No fraud was identified.	0	0	0	No further action was taken.
Apr-19	East and Southern Africa	Information was received from a journalist that a Plan International staff member attempted to solicit a bribe from a local NGO in return for the award of a contract.	There was no evidence of Plan International involvement.	0	0	0	No further action was taken.

Mar-19	Asia	A vendor reduced transport prices dramatically on order leading to suspicion of irregularity.	Additional information gathering revealed no basis for further investigation.	0	0	0	No further action was taken.
May-19	Asia	A Plan International driver stole tyres from a storeroom and sold them on.	The staff member was dismissed and the loss was recovered.	123	123	0	No further action was taken.
May-19	Europe	A staff member fell victim to a fraudulent email purporting to be from a staff member changing bank account details for payroll. Salary was paid to the fraudster.	No recovery was possible.	3,700	0	3,700	A reminder to staff regarding fake emails was circulated.
May-19	Asia	A Plan International staff member falsified a payment guarantee letter to a landlord and used irregular advances for personal gain.	The staff member was dismissed and the loss recovered.	1,008	1,008	0	No further action was taken.
May-19	West and Central Africa	An anonymous email from an apparently dismissed staff member alleged corruption.	The whistleblower was not traceable and their email account had been deleted. There was insufficient information for further investigation.	0	0	0	No further action was taken.
Jun-19	Americas	A Plan International staff member was suspected of attempting to manipulate a bidding process.	Fraud was substantiated and the staff member resigned.	0	0	0	Procurement-related recommendations were made based on observed gaps.
Jun-19	Asia	Staff from a partner organisation produced fake invoices and misappropriated cash in relation to the purchase of workshop materials.	Fraud was substantiated. The lost funds were recovered and culpable staff members from the partner organisation were dismissed.	52	52	0	A fraud awareness session is scheduled for the partner organisation.