

Plan International Counter Fraud Report FY17 - Quarter 1

Date	Location	Allegation	Resolution	Net loss (EUR)	Lessons learned and actions
01/11/2015	West and Central Africa	Plan International staff member allegedly received kickbacks from suppliers.	Procurement review found no evidence of fraud.	0	A review recommended process control measures which were implemented.
01/08/2015	Eastern and Southern Africa	Implementing partner suspected of fraudulent misappropriation of Plan International funds.	Misappropriation of €7,758 by the partner was substantiated. The partnership was terminated and all funds recovered.	0	Local partner selection processes were reviewed.
01/03/2016	Americas	Anonymous report alleged conflict of interest by a local manager favouring family and friends for supply of goods and services.	The conflict of interest was substantiated but no financial loss to Plan International was identified. The manager resigned.	0	Staff received refresher training on the code of conduct and conflict of interest issues.
01/04/2016	Eastern and Southern Africa	Local audit of an emergency response project revealed suspected fraud in procurements and inflated prices for emergency supplies and fuel.	Fraud was substantiated. Three staff members were dismissed and one other was sanctioned. Recovery of losses proved impractical.	9,898	Procurement processes were reviewed.
01/03/2016	West and Central Africa	An implementing partner submitted a vastly inflated bid for consultancy fees.	The partner was blacklisted.	0	No further action.
01/01/2016	Asia	A Plan International staff member misappropriated funds meant for community expenses.	Misappropriation of €154 was identified. The staff member was dismissed and the money was recovered.	0	A Project Manager was sanctioned for insufficient monitoring of staff members.
01/05/2016	West and Central Africa	A staff member of an implementing partner was allegedly selling aid items to beneficiaries.	The allegation was substantiated and the staff member absconded.	22	The matter was referred to the local police.
01/05/2016	West and Central Africa	A local NGO complained that they were not selected for a contract after paying a bribe to a Plan International manager.	The manager was found to have solicited a bribe and resigned prior to a disciplinary procedure.	0	Partners were reminded of Plan International's anti-fraud and anti-bribery and corruption policy.
01/05/2016	West and Central Africa	A staff member was alleged to have conflict of interest in relation to supplier.	An investigation identified no conflict.	0	No further action.
01/06/2016	West and Central Africa	A Plan International staff member was charging community members cash to register as beneficiaries for a World Food Programme funded project.	The staff member was arrested by police. He was dismissed by Plan International.	0	A prosecution is in progress.
01/06/2016	Eastern and Southern Africa	Discrepancies on invoices for catering services led to suspicion of fraud.	An investigation did not prove the suspicion but revealed a separate corrupt relationship involving a Plan International staff member and a taxi company. The staff member in question was dismissed.	0	A comprehensive review of working practices in the location was undertaken.

01/06/2016	Various	Several Plan International offices were targets of attempted fraud. A staff member of Plan International UK was impersonated by email. Staff in various locations were encouraged to purchase air travel tickets for multiple persons and flights.	No offices fell victim to the attempted fraud.	0	Staff were alerted globally to the attempted fraud.
01/06/2016	Eastern and Southern Africa	A local partner was alleged to be extorting part of a staff member's salary payments.	An investigation did not substantiate this allegation.	0	No further action.
01/06/2016	Eastern and Southern Africa	An anonymous allegation was made that a staff member was providing fake receipts to support expense claims.	An investigation did not substantiate this allegation.	0	No further action.
01/06/2016	Asia	A local supplier alleged that a Plan International staff member requested an inflated quotation in order to provide kickback payments.	The claim was substantiated against 4 Plan International staff members. Following disciplinary processes, all 4 were dismissed.	350	Procurement processes were reviewed.
01/07/2016	Asia	A Plan International staff submitted false receipts in a liquidation report.	It was established that the staff member forged a receipt to cover for a lost original. There was no financial fraud intent. The staff was given an official warning.	0	This issue was covered in staff training sessions.
01/05/2016	Asia	A Plan International staff member submitted false receipts for payment of hotel rooms suspected to have been provided free of charge.	The allegation was substantiated. The staff member was dismissed. Lost funds of €4,000 were recovered and the police were informed.	0	A prosecution is in progress.
01/08/2016	International HQ	Malicious software ransomware attack was discovered on International HQ servers.	No 'ransom' was paid. Remedial measures were taken by the IT Department.	0	Staff globally were reminded on safe internet use.
01/04/2016	Americas	Inconsistencies were discovered in petty cash advances made to a staff member.	The staff member was dismissed for non-compliance with cash advance policies and procedures.	0	Controls were reviewed and strengthened.
01/08/2016	Eastern and Southern Africa	An anonymous allegation was made that bribes were paid to government officials to ensure work permits were issued for expat staff.	An investigation found no supporting evidence. The allegation is suspected to be malicious.	0	No further action.
01/07/2016	Eastern and Southern Africa	Spot check cash counts found a petty cash discrepancy.	No perpetrator was identified but the missing amount (€82) was recovered from the cashier responsible for safe keeping.	0	No further action.
01/06/2016	Eastern and Southern Africa	A medical expense claim appeared inflated with false receipts.	The investigation found the claim was inflated by €3. The staff was sanctioned with loss of 10 days' pay.	0	No further action.